

1 JOHN W. BERRY (bar admission pending, L.R. 83-2.4.1)  
Email: [berryj@sec.gov](mailto:berryj@sec.gov)  
2 SOLOMON R. MANGOLINI, Cal. Bar No. 149811  
Email: [mangolinis@sec.gov](mailto:mangolinis@sec.gov)

3 Attorneys for Plaintiff  
4 Securities and Exchange Commission  
Michele Wein Layne, Regional Director  
5 John W. Berry, Regional Trial Counsel  
5670 Wilshire Boulevard, 11th Floor  
6 Los Angeles, California 90036  
Telephone: (323) 965-3998  
7 Facsimile: (323) 965-3908

8  
9  
10 **UNITED STATES DISTRICT COURT**  
11 **CENTRAL DISTRICT OF CALIFORNIA**

12 **SECURITIES AND EXCHANGE**  
13 **COMMISSION,**

14 Plaintiff,

15 vs.

16 **DEAN P. GROSS and GREGORY**  
17 **W. LASER,**

18 Defendants.

Case No.: CV 09-9144 AHM (RZx)

**FINAL JUDGMENT AS**  
**TO DEFENDANT DEAN P. GROSS**

19  
20  
21 Plaintiff Securities and Exchange Commission (“SEC”) having filed a  
22 Complaint and Defendant Dean P. Gross (“Defendant”) having acknowledged being  
23 served with the Complaint, entered a general appearance, consented to the Court’s  
24 jurisdiction over Defendant and the subject matter of this action, consented to entry of  
25 this Final Judgment without admitting or denying the allegations of the Complaint  
26 (except as to jurisdiction), waived findings of fact and conclusions of law, and  
27 waived any right to appeal from this Final Judgment:  
28

**I.**

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of this Final Judgment by personal service or otherwise and each of them, be and hereby are permanently restrained and enjoined from, directly or indirectly, making use of any means or instruments of transportation or communication in interstate commerce or of the mails, to sell, to offer to sell, or to offer to buy any security, or carrying or causing securities to be carried through the mails or in interstate commerce, by any means or instruments of transportation, for the purpose of sale or delivery after sale, in violation of Sections 5(a) and 5(c) of the Securities Act of 1933 (the "Securities Act"), 15U.S.C. §§ 77(e)(a) and 77(e)(c).

**II.**

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant and his officers, agents, servants, employees, attorneys, subsidiaries and affiliates, and those persons in active concert or participation with any of them, who receive actual notice of this Final Judgment, by personal service or otherwise, and each of them, be and hereby are permanently restrained and enjoined from, directly or indirectly, in the offer or sale of any securities, by the use of any means or instruments of transportation or communication in interstate commerce or by use of the mails:

- A. employing any device, scheme or artifice to defraud;
- B. obtaining money or property by means of any untrue statement of a material fact or any omission to state a material fact necessary in order to make the statements made, in light of the circumstances under which they were made, not misleading; or
- C. engaging in any transaction, practice, or course of business which operates or would operate as a fraud or deceit upon the purchaser,

1 in violation of Section 17(a) of the Securities Act, 15 U.S.C. § 77q(a).

2 **III.**

3 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant,  
4 and his officers, agents, servants, employees, attorneys, subsidiaries and affiliates,  
5 and those persons in active concert or participation with any of them who receive  
6 actual notice of this Final Judgment, by personal service or otherwise, and each of  
7 them, be and hereby are permanently restrained and enjoined from, directly or  
8 indirectly, in connection with the purchase or sale of any security, by the use of any  
9 means or instrumentality of interstate commerce, or of the mails, or of any facility of  
10 any national securities exchange:

- 11 A. employing any device, scheme, or artifice to defraud;  
12 B. making any untrue statement of a material fact or omitting to state a  
13 material fact necessary in order to make the statements made, in the light  
14 of the circumstances under which they were made, not misleading; or  
15 C. engaging in any act, practice, or course of business which operates or  
16 would operate as a fraud or deceit upon any person,

17 in violation of Section 10(b) of the Securities Exchange Act of 1934 (the “Exchange  
18 Act”), 15 U.S.C. § 78j(b), and Rule 10b-5 thereunder, 17 C.F.R. § 240.10b-5.

19 **V.**

20 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the  
21 Defendant’s Consent (Dkt. No. 40) is incorporated herein with the same force and  
22 effect as if fully set forth herein, and that Defendant shall comply with all of the  
23 undertakings and agreements set forth therein.

24 //

25 //

26 //

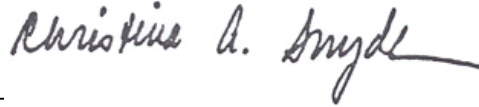
27 //

28 //

1 **VI.**

2 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court  
3 shall retain jurisdiction over this matter for the purpose of enforcing the terms of the  
4 Final Judgment, as well as determining disgorgement, prejudgment interest, and civil  
5 penalties.

6 Dated: October 21, 2013

7  \_\_\_\_\_

8 HONORABLE CHRISTINA A. SNYDER  
9 UNITED STATES DISTRICT JUDGE  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28